# Web Serial API

# Living document



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# Abstract

The *Serial API* provides a way for websites to read and write from a serial device through script. Such an API would bridge the web and the physical world, by allowing documents to communicate with devices such as microcontrollers, 3D printers, and other serial devices. There is also a companion <u>explainer</u> document.

# Status of This Document

This specification was published by the <u>Web Platform Incubator Community Group</u>. It is not a W3C Standard nor is it on the W3C Standards Track. Please note that under the <u>W3C Community Contributor License Agreement (CLA)</u> there is a limited opt-out and other conditions apply. Learn more about <u>W3C Community and Business</u> <u>Groups</u>.

This is a work in progress. All contributions welcome.

<u>GitHub Issues</u> are preferred for discussion of this specification.

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# § 1. Extensions to the <u>Navigator</u> interface

# WebIDL [Exposed=Window, SecureContext] partial interface Navigator { [SameObject] readonly attribute Serial serial; };

# § 1.1 *serial* attribute

When getting, the <u>serial</u> attribute always returns the same instance of the <u>Serial</u> object.

# § 2. Extensions to the WorkerNavigator interface

# WebIDL [Exposed=DedicatedWorker, SecureContext] partial interface WorkerNavigator { [SameObject] readonly attribute Serial serial; };

#### § 2.1 serial attribute

When getting, the <u>serial</u> attribute always returns the same instance of the <u>Serial</u> object.

# § 3. Serial interface



#### § 3.1 requestPort() method

#### EXAMPLE 1

When the user first visits a site it will not have permission to access any serial devices. A site must first call <u>requestPort()</u>. This call gives the browser the opportunity to prompt the user for which device the site should be allowed to control. If the site is designed to work with a particular device which is always connected via USB the site can provide a filter restricting the devices the user can select to only those that would be compatible. For example, a site which programs Arduino-powered robots could specify a like the following to limit the set of selectable ports to only USB devices with Arduino's USB vendor ID,

```
const filter = { usbVendorId: 0x2341 };
const port = await navigator.serial.requestPort({ filters: [filter
```

If on the other hand the site expects to be used with a wide variety of devices or devices connected through a USB to serial converter it may specify no filter at all and rely on the user to select the appropriate device,

const port = await navigator.serial.requestPort();

Asking the user to choose a port requires showing a prompt to the user and so the site must have <u>transient activation</u> from something like the user clicking a button.

```
<button id="connect">Connect</button>
```

```
const connectButton = document.getElementById("connect");
connectButton.addEventListener('click', () => {
   try {
     const port = await navigator.serial.requestPort();
     // Continue connecting to the device attached to /port/.
   } catch (e) {
     // The prompt has been dismissed without selecting a device.
   }
});
```

The user may choose not to select a device, in which case the <u>Promise</u> will be rejected with a "<u>NotFoundError</u>" <u>DOMException</u> that the site must handle.

The <u>requestPort()</u> method steps are:

- 1. Let *promise* be <u>a new promise</u>.
- If <u>this</u>'s <u>relevant global object</u>'s <u>associated Document</u> is not <u>allowed to use</u> the <u>policy-controlled feature</u> named "<u>serial</u>", <u>reject promise</u> with a "<u>SecurityError</u>" <u>DOMException</u> and return promise.
- 3. If the <u>relevant global object</u> of <u>this</u> does not have <u>transient activation</u>, <u>reject</u> *promise* with a "<u>SecurityError</u>" <u>DOMException</u> and return *promise*.
- 4. If *options*["<u>filters</u>"] is present, then for each *filter* in *options*["<u>filters</u>"] run the following steps:
  - 1. If *filter*["bluetoothServiceClassId"] is present:
    - 1. If *filter*["usbVendorId"] is present, <u>reject</u> promise with a <u>TypeError</u> and return *promise*.
    - 2. If *filter*["usbProductId"] is present, <u>reject</u> promise with a <u>TypeError</u> and return promise.
  - 2. If *filter*["<u>usbVendorId</u>"] is not present, <u>reject</u> *promise* with a <u>TypeError</u> and return *promise*.

#### NOTE

This check implements the combined rule that a <u>SerialPortFilter</u> cannot be empty and if <u>usbProductId</u> is specified then <u>usbVendorId</u> must also be specified.

- 5. Run the following steps in parallel:
  - 1. Let *allPorts* be an empty <u>list</u>.
  - 2. For each Bluetooth device registered with the system:
    - 1. <u>For each BluetoothServiceUUID</u> *uuid* supported by the device:
      - 1. If *uuid* is not a blocked Bluetooth service class UUID:
        - If *uuid* is equal to the Serial Port Profile service class ID, or
        - options["allowedBluetoothServiceClassIds"] is present and <u>contains</u> uuid:
          - 1. Let *port* be a <u>SerialPort</u> representing the service on the Bluetooth device.
          - 2. <u>Append</u> port to allPorts.
  - 3. For each available non-Bluetooth serial port:
    - 1. Let *port* be a <u>SerialPort</u> representing the port.

#### 2. <u>Append</u> port to allPorts.

- 4. Prompt the user to grant the site access to a serial port by presenting them with a list of ports in *allPorts* that <u>match any filter</u> in *options*["<u>filters</u>"] if present and *allPorts* otherwise.
- 5. If the user does not choose a port, <u>queue a global task</u> on the <u>relevant</u> <u>global object</u> of <u>this</u> using the <u>serial port task source</u> to <u>reject promise</u> with a "<u>NotFoundError</u>" <u>DOMException</u> and abort these steps.
- 6. Let *port* be a SerialPort representing the port chosen by the user.
- 7. <u>Queue a global task</u> on the <u>relevant global object</u> of <u>this</u> using the <u>serial</u> port task source to <u>resolve</u> *promise* with *port*.
- 6. Return promise.

A serial port is *available* if it is a wired serial port and the port is physically connected to the system, or if it is a wireless serial port and the wireless device hosting the port is registered with the system.

#### § 3.1.1 SerialPortRequestOptions dictionary

# WebIDL dictionary SerialPortRequestOptions { sequence<SerialPortFilter> filters; sequence<BluetoothServiceUUID> allowedBluetoothServiceClassIds; };

#### filters member

Filters for serial ports

#### allowedBluetoothServiceClassIds member

A list of <u>BluetoothServiceUUID</u> values representing Bluetooth service class IDs. Bluetooth ports with custom service class IDs are excluded from the list of ports presented to the user unless the service class ID is included in this list.

#### § 3.1.2 SerialPortFilter dictionary

#### WebIDL

```
dictionary SerialPortFilter {
    unsigned short usbVendorId;
    unsigned short usbProductId;
    BluetoothServiceUUID bluetoothServiceClassId;
};
```

#### usbVendorId member

USB Vendor ID

# usbProductId member

USB Product ID

#### bluetoothServiceClassId member

Bluetooth service class ID

A serial port *matches the filter filter* if these steps return true:

- 1. Let *info* be the result of calling *port*.getInfo().
- 2. If *filter*["bluetoothServiceClassId"] is present:
  - 1. If the serial port is not part of a Bluetooth device, return false.
  - If *filter*["<u>bluetoothServiceClassId</u>"] is equal to *info*["bluetoothServiceClassId"], return true.
  - 3. Otherwise, return false.
- 3. If *filter*["usbVendorId"] is not present, return true.
- 4. If the serial port is not part of a USB device, return false.
- 5. If *info*["<u>usbVendorId</u>"] is not equal to *filter*["<u>usbVendorId</u>"], return false.
- 6. If *filter*["usbProductId"] is not present, return true.
- 7. If *info*["usbProductId"] is not equal to *filter*["usbProductId"], return false.
- 8. Otherwise, return true.

A serial port *port matches any filter* in a sequence of <u>SerialPortFilter</u> if these steps return true:

- 1. For each *filter* in the sequence, run these sub-steps:
  - 1. If *port* matches the filter *filter*, return true.
- 2. Return false.

#### § 3.2 getPorts() method

#### EXAMPLE 2

If a serial port is provided by a USB device then that device may be connected or disconnected from the system. Once a site has permission to access a port it can receive these events and query for the set of connected devices it currently has access to.

```
// Check to see what ports are available when the page loads.
document.addEventListener('DOMContentLoaded', async () => {
    let ports = await navigator.serial.getPorts();
    // Populate the UI with options for the user to select or
    // automatically connect to devices.
});
```

```
navigator.serial.addEventListener('connect', e => {
    // Add |e.target| to the UI or automatically connect.
});
```

```
navigator.serial.addEventListener('disconnect', e => {
    // Remove |e.target| from the UI. If the device was open the
    // disconnection can also be observed as a stream error.
});
```

The getPorts() method steps are:

- 1. Let *promise* be <u>a new promise</u>.
- If <u>this</u>'s <u>relevant global object</u>'s <u>associated Document</u> is not <u>allowed to use</u> the <u>policy-controlled feature</u> named "serial", <u>reject promise</u> with a "<u>SecurityError</u>" <u>DOMException</u> and return <u>promise</u>.
- 3. Run the following steps in parallel:

- 1. Let *availablePorts* be the sequence of <u>available</u> serial ports which the user has allowed the site to access as the result of a previous call to requestPort().
- 2. Let *ports* be the sequence of the <u>SerialPorts</u> representing the ports in *availablePorts*.
- 3. <u>Queue a global task</u> on the <u>relevant global object</u> of <u>this</u> using the <u>serial</u> port task source to <u>resolve</u> *promise* with *ports*.
- 4. Return promise.

#### § 3.3 onconnect attribute

onconnect is an <u>event handler IDL attribute</u> for the *connect* event type.

## § 3.4 ondisconnect attribute

ondisconnect is an event handler IDL attribute for the *disconnect* event type.

# § 4. SerialPort interface

#### WebIDL

```
[Exposed=(DedicatedWorker,Window), SecureContext]
interface SerialPort : EventTarget {
  attribute EventHandler onconnect;
  attribute EventHandler ondisconnect;
  readonly attribute boolean connected;
  readonly attribute ReadableStream readable;
  readonly attribute WritableStream writable;
  SerialPortInfo getInfo();
```

```
Promise<undefined> open(SerialOptions options);
Promise<undefined> setSignals(optional SerialOutputSignals
signals = {});
Promise<SerialInputSignals> getSignals();
Promise<undefined> close();
```

```
Promise<undefined> forget();
};
```

Methods on this interface typically complete asynchronously, queuing work on the *serial port task source*.

The <u>get the parent</u> algorithm for <u>SerialPort</u> returns the same <u>Serial</u> instance that is returned by the <u>SerialPort</u>'s <u>relevant global object</u>'s <u>Navigator</u> object's <u>serial</u> getter.

Instances of <u>SerialPort</u> are created with the internal slots described in the following table:

Internal slot	Initial value	Description (non-normative)
[[state]]	"closed"	Tracks the active state of the SerialPort
[[bufferSize]]	undefined	The amount of data to buffer for transmit and receive
[[connected]]	false	A flag indicating the logical connection state of serial port
[[readable]]	null	A <u>ReadableStream</u> that receives data from the port
[[readFatal]]	false	A flag indicating that the port has encountered a fatal read error
[[writable]]	null	A <u>WritableStream</u> that transmits data to the port
[[writeFatal]]	false	A flag indicating that the port has encountered a fatal write error
[[pendingClosePromise]	<b>/</b> null	A <u>Promise</u> used to wait for <u>readable</u> and <u>writable</u> to close

# § 4.1 onconnect attribute

onconnect is an event handler IDL attribute for the connect event type.

When a serial port that the user has allowed the site to access as the result of a previous call to <u>requestPort()</u> becomes <u>logically connected</u>, run the following steps:

- 1. Let *port* be a SerialPort representing the port.
- 2. Set *port*.[[connected]] to true.
- 3. <u>Fire an event named connect</u> at *port* with its <u>bubbles</u> attribute initialized to true.

A serial port is *logically connected* if it is a wired serial port and the port is physically connected to the system, or if it is a wireless serial port and the system has active connections to the wireless device (e.g. an open Bluetooth L2CAP channel).

#### § 4.2 ondisconnect attribute

ondisconnect is an event handler IDL attribute for the disconnect event type.

When a serial port that the user has allowed the site to access as the result of a previous call to <u>requestPort()</u> is no longer <u>logically connected</u>, run the following steps:

- 1. Let *port* be a SerialPort representing the port.
- 2. Set *port*.[[connected]] to false.
- 3. <u>Fire an event named disconnect</u> at *port* with its <u>bubbles</u> attribute initialized to true.

## 

The getInfo() method steps are:

- 1. Let *info* be a <u>new</u> SerialPortInfo dictionary.
- 2. If the port is part of a USB device, perform the following steps:
  - 1. Set *info*["usbVendorId"] to the vendor ID of the device.
  - 2. Set *info*["<u>usbProductId</u>"] to the product ID of the device.
- 3. If the port is a service on a Bluetooth device, perform the following steps:
  - 1. Set *info*["<u>bluetoothServiceClassId</u>"] to the service class UUID of the Bluetooth service.
- 4. Return info.

#### § 4.3.1 SerialPortInfo dictionary

#### WebIDL

```
dictionary SerialPortInfo {
    unsigned short usbVendorId;
    unsigned short usbProductId;
    BluetoothServiceUUID bluetoothServiceClassId;
};
```

#### usbVendorId member

If the port is part of a USB device this member will be the 16-bit vendor ID of that device. Otherwise it will be undefined.

#### usbProductId member

If the port is part of a USB device this member will be the 16-bit product ID of that device. Otherwise it will be undefined.

#### bluetoothServiceClassId member

If the port is a service on a Bluetooth device this member will be a <u>BluetoothServiceUUID</u> containing the service class UUID. Otherwise it will be undefined.

§ 4.4 open() method

#### EXAMPLE 3

Before communicating on a serial port it must be opened. Opening the port allows the site to specify the necessary parameters which control how data is transmitted and received. Developers should check the documentation for the device they are connecting to for the appropriate parameters.

```
await port.open({ baudRate: /* pick your baud rate */ });
```

Once <u>open()</u> has resolved the <u>readable</u> and <u>writable</u> attributes can be accessed to get the <u>ReadableStream</u> and <u>WritableStream</u> instances for receiving data from and sending data to the connected device.

The open() method steps are:

- 1. Let *promise* be <u>a new promise</u>.
- If <u>this</u>.[[state]] is not "closed", reject *promise* with an "<u>InvalidStateError</u>" <u>DOMException</u> and return *promise*.
- 3. If *options*["<u>dataBits</u>"] is not 7 or 8, reject *promise* with <u>TypeError</u> and return *promise*.
- 4. If *options*["<u>stopBits</u>"] is not 1 or 2, reject *promise* with <u>TypeError</u> and return *promise*.
- 5. If *options*["<u>bufferSize</u>"] is 0, reject *promise* with <u>TypeError</u> and return *promise*.
- 6. Optionally, if *options*["<u>bufferSize</u>"] is larger than the implementation is able to support, reject *promise* with a <u>TypeError</u> and return *promise*.
- 7. Set <u>this</u>.[[state]] to "opening".
- 8. Perform the following steps in parallel.
  - 1. Invoke the operating system to open the serial port using the connection parameters (or their defaults) specified in *options*.
  - If this fails for any reason, <u>queue a global task</u> on the <u>relevant global object</u> of <u>this</u> using the <u>serial port task source</u> to <u>reject promise</u> with a "<u>NetworkError</u>" <u>DOMException</u> and abort these steps.
  - 3. Set this.[[state]] to "opened".
  - 4. Set this.[[bufferSize]] to options["bufferSize"].

- 5. <u>Queue a global task</u> on the <u>relevant global object</u> of <u>this</u> using the <u>serial</u> port task source to <u>resolve</u> *promise* with undefined.
- 9. Return promise.

#### § 4.4.1 SerialOptions dictionary

#### WebIDL

```
dictionary <u>SerialOptions</u> {
    [EnforceRange] required <u>unsigned long baudRate;</u>
    [EnforceRange] octet dataBits = 8;
    [EnforceRange] octet stopBits = 1;
    ParityType parity = "none";
    [EnforceRange] <u>unsigned long bufferSize</u> = 255;
    FlowControlType flowControl = "none";
};
```

#### baudRate member

A positive, non-zero value indicating the baud rate at which serial communication should be established.

## NOTE

<u>baudRate</u> is the only required member of this dictionary. While there are common default for other connection parameters it is important for developers to consider and consult with the documentation for devices they intend to connect to determine the correct values. While some values are common there is no standard baud rate. Requiring this parameter reduces the potential for confusion if an arbitrary default were chosen by this specification.

#### dataBits member

The number of data bits per frame. Either 7 or 8.

#### stopBits member

The number of stop bits at the end of a frame. Either 1 or 2.

#### parity member

The parity mode.

#### bufferSize member

A positive, non-zero value indicating the size of the read and write buffers that should be created.

#### flowControl member

The flow control mode.

#### § 4.4.1.1 ParityType enum

WebIDL			
enum ParityType	{		
" <u>none</u> ",			
"even",			
"odd"			
};			

#### none

No parity bit is sent for each data word.

#### even

Data word plus parity bit has even parity.

#### odd

Data word plus parity bit has odd parity.

#### § 4.4.1.2 FLowControlType enum

#### WebIDL

```
enum FlowControlType {
    "none",
    "hardware"
};
```

#### none

No flow control is enabled.

#### hardware

Hardware flow control using the RTS and CTS signals is enabled.

# § 4.5 *connected* attribute

The connected getter steps are:

- 1. Return this.[[connected]].
- § 4.6 *readabLe* attribute

#### EXAMPLE 4

An application receiving data from a serial port will typically use a nested pair of loops like this,

```
while (port.readable) {
  const reader = port.readable.getReader();
  try {
    while (true) {
      const { value, done } = await reader.read();
      if (done) {
        // |reader| has been canceled.
        break;
      }
      // Do something with |value|...
    }
  } catch (error) {
   // Handle |error|...
  } finally {
    reader.releaseLock();
  }
}
```

The inner loop will read chunks of data from the port until an error is encountered, at which point the code in the "catch" block will be executed. The outer loop handles recoverable errors such as parity check failures by opening a new reader. Fatal errors will cause readable to become null and the loop to end.

As long as the serial port is open it can continue to produce data and the amount of data in each of the chunks returned by <u>read()</u> will be essentially arbitrary based on the timing of when it is called. It is up to the device and the code communicating with it to decide what constitutes a complete message. For example, a device might communicate with the host using ASCII-formatted text where each message ends with a newline (or the sequence "\r\n"). A pipeline of <u>TransformStreams</u> can be used to automatically convert the <u>Uint8Array</u> chunks provided by <u>readable</u> into <u>DOMStrings</u> containing an entire line of text each.

```
class LineBreakTransformer {
  constructor() {
    this.container = '';
  }
```

```
transform(chunk, controller) {
    this.container += chunk;
    const lines = this.container.split('\r\n');
    this.container = lines.pop();
    lines.forEach(line => controller.enqueue(line));
    }
    flush(controller) {
      controller.enqueue(this.container);
    }
}
const lineReader = port.readable
    .pipeThrough(new TextDecoderStream())
    .pipeThrough(new TransformStream(new LineBreakTransformer()))
    .getReader();
```

Some other ways of encoding message boundaries are to prefix each message with its length or to wait a defined length of time before transmitting the next message. Implementing a <u>TransformStream</u> for these types of message boundaries is left as an exercise for the reader.

While the <u>read()</u> method is asynchronous and does not block execution, in code using async/await syntax it can seem as if it does. In this situation it may be helpful to implement a timeout which will allow the code to continue execution if no data is received for a period of time. The example below uses the <u>releaseLock()</u> method to interrupt a call to <u>read()</u> after a timer expires. This will not close the stream and so any data received after the timeout can still be read later after calling <u>getReader()</u> again.

```
async function readWithTimeout(port, timeout) {
  const reader = port.readable.getReader();
  const timer = setTimeout(() => {
    reader.releaseLock();
  }, timeout);
  const result = await reader.read();
  clearTimeout(timer);
  reader.releaseLock();
  return result;
}
```

This feature of <u>releaseLock()</u> was added in <u>whatwg/streams#1168</u> and has only recently been implemented by browsers.

The <u>readable</u> getter steps are:

- 1. If <u>this</u>.[[readable]] is not null, return <u>this</u>.[[readable]].
- 2. If <u>this.[[state]]</u> is not "opened", return null.
- 3. If <u>this</u>.[[readFatal]] is true, return null.
- 4. Let *stream* be a <u>new</u> <u>ReadableStream</u>.
- 5. Let *pullAlgorithm* be the following steps:
  - 1. Let *desiredSize* be the <u>desired size to fill up to the high water mark</u> for <u>this</u>. [[readable]].
  - 2. If <u>this</u>.[[readable]]'s <u>current BYOB request view</u> is non-null, then set *desiredSize* to <u>this</u>.[[readable]]'s <u>current BYOB request view</u>'s <u>byte</u> <u>length</u>.
  - 3. Run the following steps in parallel:
    - 1. Invoke the operating system to read up to *desiredSize* bytes from the port, putting the result in the <u>byte sequence</u> bytes.

#### NOTE

<u>this.[[state]]</u> becoming "forgotten" must be treated as if *the port was disconnected*.

- 2. <u>Queue a global task</u> on the <u>relevant global object</u> of <u>this</u> using the serial port task source to run the following steps:
  - 1. If no errors were encountered, then:
    - If <u>this.[[readable]]</u>'s <u>current BYOB request view</u> is nonnull, then <u>write bytes</u> into <u>this.[[readable]]</u>'s <u>current</u> <u>BYOB request view</u>, and set <u>view</u> to <u>this.[[readable]]</u>'s <u>current BYOB request view</u>.
    - 2. Otherwise, set *view* to the result of <u>creating</u> a <u>Uint8Array</u> from *bytes* in <u>this</u>'s <u>relevant Realm</u>.
    - 3. <u>Enqueue view into this.</u>[[readable]].
  - 2. If a buffer overrun condition was encountered, invoke error on

<u>this.[[readable]]</u> with a "BufferOverrunError" <u>DOMException</u> and invoke the steps to <u>handle closing the</u> readable stream.

- 3. If a break condition was encountered, invoke <u>error</u> on <u>this</u>.
  [[readable]] with a "BreakError" <u>DOMException</u> and invoke the steps to handle closing the readable stream.
- 4. If a framing error was encountered, invoke error on this.
  [[readable]] with a "FramingError" <u>DOMException</u> and invoke the steps to handle closing the readable stream.
- 5. If a parity error was encountered, invoke error on this.
  [[readable]] with a "ParityError" <u>DOMException</u> and invoke the steps to <u>handle closing the readable stream</u>.
- 6. If an operating system error was encountered, invoke <u>error</u> on <u>this.[[readable]]</u> with an "<u>UnknownError</u>" <u>DOMException</u> and invoke the steps to <u>handle closing the readable stream</u>.
- 7. If the port was disconnected, run the following steps:
  - 1. Set <u>this</u>.[[readFata1]] to true,
  - Invoke error on this.[[readable]] with a "NetworkError" DOMException.
  - 3. Invoke the steps to handle closing the readable stream.
- 4. Return <u>a promise resolved with</u> undefined.

#### NOTE

The <u>Promise</u> returned by this algorithm is immediately resolved so that it does not block canceling the stream. [STREAMS] specifies that this algorithm will not be invoked again until a chunk is enqueued.

- 6. Let *cancelAlgorithm* be the following steps:
  - 1. Let *promise* be <u>a new promise</u>.
  - 2. Run the following steps in parallel.
    - 1. Invoke the operating system to discard the contents of all software and hardware receive buffers for the port.
    - 2. <u>Queue a global task</u> on the <u>relevant global object</u> of <u>this</u> using the <u>serial port task source</u> to run the following steps:

- 1. Invoke the steps to handle closing the readable stream.
- 2. <u>Resolve</u> *promise* with undefined.
- 3. Return *promise*.
- Set up with byte reading support stream with <u>pullAlgorithm</u> set to pullAlgorithm, <u>cancelAlgorithm</u> set to <u>cancelAlgorithm</u>, and <u>highWaterMark</u> set to <u>this.[[bufferSize]]</u>.
- 8. Set <u>this</u>.[[readable]] to *stream*.
- 9. Return stream.

To *handle closing the readable stream* perform the following steps:

- 1. Set <u>this</u>.[[readable]] to null.
- 2. If <u>this</u>.[[writable]] is null and <u>this</u>.[[pendingClosePromise]] is not null, <u>resolve this</u>.[[pendingClosePromise]] with undefined.

#### § 4.7 writable attribute

#### EXAMPLE 5

To write individual chunks of data to the port a <u>WritableStreamDefaultWriter</u> can be created and released as necessary. This example uses a TextEncoder to encode a <u>DOMString</u> as the necessary <u>Uint8Array</u> for transmission.

```
const encoder = new TextEncoder();
const writer = port.writable.getWriter();
await writer.write(encoder.encode("PING"));
writer.releaseLock();
```

When writing larger chunks it can be important to allow the port to apply back pressure so that the serial transmitter does not get too far behind sending data generated by the application. The write() method returns a <u>Promise</u> which resolves when data has been written. While having some data available in the transmit buffer is important to maintain good throughput awaiting this <u>Promise</u> before generating too many chunks of data is a good practice to avoid excessive buffering.

The writable getter steps are:

- 1. If <u>this</u>.[[writable]] is not null, return <u>this</u>.[[writable]].
- 2. If <u>this</u>.[[state]] is not "opened", return null.
- 3. If <u>this</u>.[[writeFatal]] is true, return null.
- 4. Let *stream* be a <u>new</u> <u>WritableStream</u>.
- 5. Let *signal* be *stream*'s <u>signal</u>.
- 6. Let *writeAlgorithm* be the following steps, given *chunk*:
  - 1. Let *promise* be <u>a new promise</u>.
  - 2. Assert: *signal* is not <u>aborted</u>.
  - 3. If *chunk* cannot be <u>converted to an IDL value</u> of type <u>BufferSource</u>, reject *promise* with a <u>TypeError</u> and return *promise*. Otherwise, save the result of the conversion in *source*.
  - 4. Get a copy of the buffer source source and save the result in bytes.
  - 5. <u>In parallel</u>, run the following steps:
    - 1. Invoke the operating system to write *bytes* to the port. Alternately, store the chunk for future coalescing.

#### NOTE

The operating system may return from this operation once *bytes* has been queued for transmission rather than after it has been transmitted.

#### NOTE

<u>this.[[state]]</u> becoming "forgotten" must be treated as if *the port was disconnected*.

- 2. <u>Queue a global task</u> on the <u>relevant global object</u> of <u>this</u> using the serial port task source to run the following steps:
  - 1. If the chunk was successfully written, or was stored for future coalescing, <u>resolve</u> *promise* with undefined.

#### NOTE

[STREAMS] specifies that *writeAlgorithm* will only be invoked after the <u>Promise</u> returned by a previous invocation of this algorithm has resolved. For efficiency an implementation is allowed to resolve this <u>Promise</u> early in order to coalesce multiple chunks waiting in the <u>WritableStream</u>'s internal queue into a single request to the operating system.

- 2. If an operating system error was encountered, <u>reject</u> *promise* with an "<u>UnknownError</u>" <u>DOMException</u>.
- 3. If the port was disconnected, run the following steps:
  - 1. Set <u>this</u>.[[writeFatal]] to true.
  - 2. <u>Reject promise with a "NetworkError" DOMException</u>.
  - 3. Invoke the steps to handle closing the writable stream.
- 4. If signal is <u>aborted</u>, <u>reject</u> promise with signal's <u>abort reason</u>.

#### 6. Return promise.

- 7. Let *abortAlgorithm* be the following steps:
  - 1. Let *promise* be <u>a new promise</u>.
  - 2. Run the following steps in parallel.
    - 1. Invoke the operating system to discard the contents of all software and hardware transmit buffers for the port.
    - 2. <u>Queue a global task</u> on the <u>relevant global object</u> of <u>this</u> using the serial port task source to run the following steps:
      - 1. Invoke the steps to handle closing the writable stream.
      - 2. <u>Resolve</u> *promise* with undefined.
  - 3. Return promise.
- 8. Let *closeAlgorithm* be the following steps:
  - 1. Let *promise* be <u>a new promise</u>.
  - 2. Run the following steps in parallel.
    - 1. Invoke the operating system to flush the contents of all software and hardware transmit buffers for the port.
    - 2. <u>Queue a global task</u> on the <u>relevant global object</u> of <u>this</u> using the

serial port task source to run the following steps:

- 1. Invoke the steps to handle closing the writable stream.
- 2. If signal is aborted, reject promise with signal's abort reason.
- 3. Otherwise, <u>resolve</u> promise with undefined.
- 3. Return promise.
- 9. Set up stream with writeAlgorithm set to writeAlgorithm, abortAlgorithm set to abortAlgorithm, closeAlgorithm set to closeAlgorithm, highWaterMark set to this.[[bufferSize]], and sizeAlgorithm set to a byte-counting size algorithm.
- 10. Add the following abort steps to signal:
  - 1. Cause any invocation of the operating system to write to the port to return as soon as possible no matter how much data has been written.
- 11. Set this.[[writable]] to stream.
- 12. Return stream.

To *handle closing the writable stream* perform the following steps:

- 1. Set <u>this</u>.[[writable]] to null.
- 2. If <u>this</u>.[[readable]] is null and <u>this</u>.[[pendingClosePromise]] is not null, <u>resolve this</u>.[[pendingClosePromise]] with undefined.

#### § 4.8 *setSignaLs()* method

#### EXAMPLE 6

Serial ports include a number of additional signals for device detection and flow control which can be queried and set explicitly. As an example, programming some micro-controllers first requires entering a "programming" mode by toggling the "Data Terminal Ready" (or DTR) signal.

```
await port.setSignals({ dataTerminalReady: false });
await new Promise(resolve => setTimeout(resolve, 200));
await port.setSignals({ dataTerminalReady: true });
```

The setSignals() method steps are:

- 1. Let *promise* be <u>a new promise</u>.
- 2. If <u>this</u>.[[state]] is not "opened", reject *promise* with an "<u>InvalidStateError</u>" <u>DOMException</u> and return *promise*.
- 3. If all of the specified members of *signals* are not present reject *promise* with <u>TypeError</u> and return *promise*.
- 4. Perform the following steps in parallel:
  - If signals["dataTerminalReady"] is present, invoke the operating system to either assert (if true) or deassert (if false) the "data terminal ready" or "DTR" signal on the serial port.
  - 2. If *signals*["<u>requestToSend</u>"] is present, invoke the operating system to either assert (if true) or deassert (if false) the "request to send" or "RTS" signal on the serial port.
  - 3. If *signals*["<u>break</u>"] is present, invoke the operating system to either assert (if true) or deassert (if false) the "break" signal on the serial port.

## NOTE

The "break" signal is typically implemented as an in-band signal by holding the transmit line at the "mark" voltage and thus prevents data transmission for as long as it remains asserted.

- 4. If the operating system fails to change the state of any of these signals for any reason, <u>queue a global task</u> on the <u>relevant global object</u> of <u>this</u> using the <u>serial port task source</u> to reject *promise* with a "<u>NetworkError</u>" <u>DOMException</u>.
- 5. <u>Queue a global task</u> on the <u>relevant global object</u> of <u>this</u> using the <u>serial</u> port task source to <u>resolve</u> *promise* with undefined.
- 5. Return promise.

#### § 4.8.1 SerialOutputSignals dictionary

#### WebIDL

dictionary SerialOutputSignals {
 boolean dataTerminalReady;
 boolean requestToSend;

boolean break;
};

dataTerminaLReady Data Terminal Ready (DTR)

# requestToSend

Request To Send (RTS)

break

Break

## § 4.9 getSignaLs() method

The getSignals() method steps are:

- 1. Let *promise* be <u>a new promise</u>.
- 2. If <u>this</u>.[[state]] is not "opened", reject *promise* with an "<u>InvalidStateError</u>" <u>DOMException</u> and return *promise*.
- 3. Perform the following steps in parallel:
  - 1. Query the operating system for the status of the control signals that may be asserted by the device connected to the serial port.
  - 2. If the operating system fails to determine the status of these signals for any reason, <u>queue a global task</u> on the <u>relevant global object</u> of <u>this</u> using the <u>serial port task source</u> to reject *promise* with a "<u>NetworkError</u>" <u>DOMException</u> and abort these steps.
  - 3. Let *signals* be a <u>new</u> SerialInputSignals.
  - 4. Set *signals*["<u>dataCarrierDetect</u>"] to true if the "data carrier detect" or "DCD" signal has been asserted by the device, and false otherwise.
  - 5. Set *signals*["<u>clearToSend</u>"] to true if the "clear to send" or "CTS" signal has been asserted by the device, and false otherwise.
  - 6. Set *signals*["<u>ringIndicator</u>"] to true if the "ring indicator" or "RI" signal has been asserted by the device, and false otherwise.
  - 7. Set *signals*["<u>dataSetReady</u>"] to true if the "data set ready" or "DSR" signal has been asserted by the device, and false otherwise.
  - 8. Queue a global task on the relevant global object of this using the serial

4. Return promise.

#### § 4.9.1 SerialInputSignals dictionary

#### WebIDL

```
dictionary SerialInputSignals {
  required boolean dataCarrierDetect;
  required boolean clearToSend;
  required boolean ringIndicator;
  required boolean dataSetReady;
};
```

# dataCarrierDetect member Data Carrier Detect (DCD)

*clearToSend* member Clear To Send (CTS)

## *ringIndicator* member Ring Indicator (RI)

#### *dataSetReady* member Data Set Ready (DSR)

### § 4.10 *cLose()* method

#### EXAMPLE 7

When communication with the port is no longer required it can be closed and the associated resources released by the system.

Calling port.close() implicitly invokes port.readable.cancel() and port.writable.abort() in order to clear any buffered data. If the application has called port.readable.getReader() or port.writable.getWriter() the stream is locked and the port cannot be closed. This forces the developer to decide how to handle any read or write operations that are in progress. For example, to ensure that all buffered data has been transmitted before the port is closed the application must await the <u>Promise</u> returned by writer.close().

```
const encoder = new TextEncoder();
const writer = port.writable.getWriter();
writer.write(encoder.encode("A long message that will take..."));
await writer.close();
await port.close();
```

To discard any unsent data the application could instead call writer.<u>abort()</u>.

If a <u>TransformStream</u> is being piped to port.<u>writable</u> then waiting for the <u>Promise</u> returned by writer.<u>close()</u> to resolve is insufficient. The application must wait for the pipe chain to close by waiting for the <u>Promise</u> returned by <u>pipeTo()</u> to resolve instead.

```
const encoder = new TextEncoderStream();
const writableStreamClosed = encoder.readable.pipeTo(port.writable
const writer = encoder.writable.getWriter();
writer.write("A long message that will take...");
writer.close();
await writableStreamClosed;
await port.close();
```

If a loop is being used to read chunks from the port, as is done in Example 4, then it must be exited before calling port.close().

```
let keepReading = true;
let reader;
async function readUntilClosed() {
```

```
while (port.readable && keepReading) {
    reader = port.readable.getReader();
    try {
      while (true) {
        const { value, done } = await reader.read();
        if (done) {
          // |reader| has been canceled.
          break;
        }
        // Do something with |value|...
      }
    } catch (error) {
      // Handle |error|...
    } finally {
      reader.releaseLock();
    }
  }
  await port.close();
}
const closed = readUntilClosed();
// Sometime later...
keepReading = false;
reader.cancel();
await closed;
```

Calling reader.<u>cancel()</u> causes the call to reader.<u>read()</u> to return immediately, exiting the inner loop and calling reader.<u>releaseLock()</u>. The outer loop then exits because keepReading has been set to false and with the stream unlocked port.<u>close()</u> can complete successfully.

While it is also possible to call port.<u>close()</u> immediately after awaiting the <u>Promise</u> returned by reader.<u>cancel()</u> it is better to place the call to port.<u>close()</u> as the last step of readUntilClosed() so that the port is also closed when a fatal error is encountered and port.<u>readable</u> becomes null.

The <u>close()</u> method steps are:

1. Let promise be <u>a new promise</u>.

- If <u>this</u>.[[state]] is not "opened", reject *promise* with an "<u>InvalidStateError</u>" <u>DOMException</u> and return *promise*.
- 3. Let *cancelPromise* be the result of invoking <u>cancel</u> on <u>this</u>.[[readable]] or <u>a</u> <u>promise resolved with</u> undefined if <u>this</u>.[[readable]] is null.
- 4. Let *abortPromise* be the result of invoking <u>abort</u> on <u>this</u>.[[writable]] or <u>a</u> <u>promise resolved with</u> undefined if <u>this</u>.[[writable]] is null.
- 5. Let *pendingClosePromise* be <u>a new promise</u>.
- 6. If <u>this</u>.[[readable]] and <u>this</u>.[[writable]] are null, <u>resolve</u> *pendingClosePromise* with undefined.
- 7. Set <u>this</u>.[[pendingClosePromise]] to *pendingClosePromise*.
- 8. Let *combinedPromise* be the result of <u>getting a promise to wait for all</u> with *«cancelPromise, abortPromise, pendingClosePromise».*
- 9. Set <u>this</u>.[[state]] to "closing".
- 10. <u>React</u> to *combinedPromise*.
  - If *combinedPromise* was fulfilled, then:
    - 1. Run the following steps in parallel:
      - 1. Invoke the operating system to close the serial port and release any associated resources.
      - 2. Set this.[[state]] to "closed".
      - 3. Set <u>this</u>.[[readFatal]] and <u>this</u>.[[writeFatal]] to false.
      - 4. Set <u>this</u>.[[pendingClosePromise]] to null.
      - 5. <u>Queue a global task</u> on the <u>relevant global object</u> of <u>this</u> using the serial port task source to <u>resolve</u> *promise* with undefined.
  - If *combinedPromise* was rejected with reason *r*, then:
    - 1. Set <u>this</u>.[[pendingClosePromise]] to null.
    - 2. <u>Queue a global task</u> on the <u>relevant global object</u> of <u>this</u> using the serial port task source to <u>reject promise</u> with *r*.
- 11. Return promise.

#### § 4.11 *forget()* method

#### EXAMPLE 8

It is posssible to voluntarily revoke a permission to a serial port that was granted by a user.

```
// Request a serial port.
const port = await navigator.serial.requestPort();
// Then later... revoke permission to the serial port.
await port.forget();
```

The forget() method steps are:

- 1. If the user agent can't perform this action (e.g. permission was granted by administrator policy), return a promise resolved with undefined.
- 2. Run the following steps in parallel:
  - 1. Set <u>this</u>.[[state]] to "forgetting".
  - 2. Remove <u>this</u> from the sequence of serial ports on the system which the user has allowed the site to access as the result of a previous call to requestPort().
  - 3. Set this.[[state]] to "forgotten".
  - 4. <u>Queue a global task</u> on the <u>relevant global object</u> of <u>this</u> using the <u>serial</u> <u>port task source</u> to <u>resolve</u> *promise* with undefined.
- 3. Return promise.

## § 5. Blocklist

This specification relies on a blocklist file in the <u>https://github.com/WICG/serial</u> repository to restrict the set of ports a website can access.

The result of *parsing the Bluetooth service class ID blocklist* at a URL *url* is a <u>list</u> of <u>UUID</u> values representing custom service IDs.

The *Serial Port Profile service class ID* is a <u>BluetoothServiceUUID</u> with value "00001101-0000-1000-8000-00805f9b34fb".

A {{BluetoothServiceUUID} *serviceUuid* is a *blocked Bluetooth service class UUID* if the following steps return true:

- 1. Let *uuid* be the result of calling <u>BluetoothUUID.getService()</u> with *serviceUuid*.
- 2. Let *blocklist* be the result of parsing the Bluetooth service class ID blocklist at https://github.com/WICG/serial/blob/main/blocklist.txt.
- 3. If *blocklist* <u>contains</u> *uuid*, return true.
- 4. If *uuid* is the Serial Port Profile service class ID, return false.
- 5. If *uuid* <u>ends with</u> "-0000-1000-8000-00805f9b34fb", return true.
- 6. Otherwise, return false.

# § 6. Integrations

#### § 6.1 Permissions Policy

This specification defines a feature that controls whether the methods exposed by the serial attribute on the <u>Navigator</u> object may be used.

The feature name for this feature is "*serial*".

The <u>default allowlist</u> for this feature is 'self'.

# § 7. Security considerations

#### This section is non-normative.

This API poses similar a security risk to [WEB-BLUETOOTH] and [WEBUSB] and so lessons from those are applicable here. The primary threats are:

• A malicious site that has tricked the user into granting it access to a device using the device's intended capabilities for malicious purposes. For example, a robot causing physical damage.

- A malicious site that has tricked the user into granting it access to a device installing its own firmware into the device in order to modify the device's intended capabilities for malicious purposes or to attack the host to which it is connected. For example, triggering a buffer overflow in other host software which communicates with the device.
- Malicious code injected into a trusted site which has been granted access to the device doing any of the above. For example, an online firmware update utility being hacked to deliver malicious firmware.
- An attacker convincing the user to connect a malicious device to their system which colludes with a malicious or exploited site to create a web-based channel for communicating back to the attacker.

The primary mitigation to all of these attacks is the <u>requestPort()</u> pattern, which requires user interaction and only supports granting access to a single device at a time. This prevents drive-by attacks because a site cannot enumerate all connected devices to determine whether a vulnerable device exists and must instead proactively inform the user that it desires access. Implementations may also provide a visual indication that a site is currently communicating with a device and controls for revoking that permission at any time.

This specification requires the site to be served from a <u>secure context</u> in order to prevent malicious code from being injected by a network-based attacker. This ensures that the site identity shown to the user when making permission decisions is accurate. This specification also requires top-level documents to opt-in through [PERMISSIONS-POLICY] before allowing a cross-origin iframe to use the API. When combined with [CSP3] these mechanisms provide protection against malicious code injection attacks.

The remaining concern is the exploitation of a connected device through a phishing attack that convinces the user to grant a malicious site access to a device. These attacks can be used to either exploit the device's capabilities as designed or to install malicious firmware on the device that will in turn attack the host computer. Host software may be vulnerable to attack because it improperly validates input from connected devices. Security research in this area has encouraged software vendors to treat connected devices as untrustworthy.

There is no mechanism that will completely prevent this type of attack because data sent from a page to the device is an opaque sequence of bytes. Efforts to block a particular type of data from being sent are likely be met by workarounds on the part of device manufacturers who nevertheless want to send this type of data to their devices.

User agents can implement additional mechanisms to control access to devices:

• A setting which prevents sites from calling <u>requestPort()</u> unless added to an explicit allow list.

Systems administrators could apply such a setting across their managed fleet using enterprise policy controls. Such controls may allow the administrator to automatically grant selected sites access to particular devices and no others.

• A list of device IDs for hardware which is known to be exploitable could be deployed with the user agent. Connections to listed devices would be blocked. An implementation could use its automatic update or experiment management system to deploy updates to this list on the fly to block an active attack.

Implementations of [WEB-BLUETOOTH] and [WEBUSB] have experimented with these mitigations however there are limits to their effectiveness. First, it is difficult to define whether a device is exploitable. For example, this API will allow a site to upload firmware to a microcontroller development board. This is a key use case for this API as these devices are common in the educational and hobbyist markets. These boards do not implement firmware signature verification and so can easily be turned into a malicious device. These boards are clearly exploitable but should not be blocked.

In addition, maintaining a list of vulnerable devices works well for USB and Bluetooth because those protocols define out-of-band mechanisms to gather device metadata. The make and model of such devices can thus be easily identified even if they present themselves to the host as a virtual serial ports. However, there are generic USB- or Bluetooth-to-serial adapters as well as systems with "real" serial ports using a DB-25, DE-9 or RJ-45 connector. For these there is no metadata that can be read to determine the identity of the device connected to the port and so blocking access to these is not possible.

# **§ 8.** Privacy considerations

This section is non-normative.

Serial ports and serial devices contain two kinds of sensitive information. When a port is a USB or Bluetooth device there are identifiers such as the vendor and product IDs (which identify the make and model) as well as a serial number or MAC address. The serial device itself may also have its own identifier that is available through commands sent via the serial port. The device may also store other private information which may or may not be identifying.

In order to manage device permissions an implementation will likely store device identifiers such as the USB vendor ID, product ID and serial number in its user preferences file to be used as stable identifiers for devices the user has granted sites access to. These would not be shared directly with sites and would be cleared when permission is revoked or site data in general is cleared.

Commands a page can send to the device after it has been granted access a page may also be able to access any of the other sensitive information stored by the device. For the reasons mentioned in <u>7</u>. Security considerations it is impractical and undesirable to attempt to prevent a page from accessing this information.

Implementations should provide users with complete control over which devices a site can access and not grant device access without user interaction. This is the intention of the <u>requestPort()</u> method. This prevents a site from silently enumerating and collecting data from all connected devices. This is similar to the file picker UI. A site has no knowledge of the filesystem, only the files or directories that have been chosen by the user. An implementation could notify the user when a site is using these permissions with an indicator icon appearing in the tab or address bar.

Implementations that provide a "private" or "incognito" browsing mode should ensure that permissions from the user's normal profile do not carry over to such a session and permissions granted in this session are not persisted when the session ends. An implementation may warn the user when granting access to a device in such as session as, similar to entering identifying information by hand, device identifiers and other unique properties available from communicating with the device mentioned previously can be used to identify the user between sessions.

Users may be surprised by the capabilities granted by this API if they do not understand the ways in which granting access to a device breaks traditional isolation boundaries in the web security model. Security UI and documentation should explain that granting a site access to a device could give the site full control over the device and any data contained within.

# § 9. Conformance

As well as sections marked as non-normative, all authoring guidelines, diagrams, examples, and notes in this specification are non-normative. Everything else in this specification is normative.

# § A. Acknowledgements

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